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ORIGINATOR: FOB/G

PROJECT: CARAVEL

NAME OF SOURCE: none

CASE NUMBER/REF ID:

DATE OF MEETING: 1 June 1964

PLACE OF MEETING: Residence Fkft.

TYPE OF MEETING: regular esp meeting

AGENT CARRIER: CARAVEL

AGENT OR ORIGINATOR ALIAS: none

DATE OF REPORT: 2 June 1964

TIME OF MEETING: 1930 HRS TO 2230

ALSO PRESENT:

PERIOD COVERED:

I. COMMUNICATIONS: Meeting arranged at last meeting.

Next meeting tentatively set for around 1 July and will probably be arranged by telephone during week of 22 June, possibly 25 June. Caravel is leaving on two weeks vacation at his family home in the Westerwald and will not return until after 22 June. What with busy schedule, a meeting for about one July will be arranged by phone. In case of emergency or "burning questions" he could be reached as early as 22 June.

II. SUBSTANCE OF THE MEETING:

To further build rapport, I had invited Caravel to my house for a dinner and also attend. This lent itself to some informal conversation and Caravel held forth on his life as a boy in the Westerwald, some family reunion plans for the 80th birthday of his mother, some of his exploits as a student in Paris etc. I felt that the setting at the house in a leisurely atmosphere lent itself well to establish a rapport, particularly in the cultural field, which appears to be a great need to Caravel worthwhile and helpful. Dinner conversation was equally light and chatty as it was informal. There was every indication that Caravel enjoyed himself. In fact as he left he felt obligated and so indicated, by saying that he could not very well cook a dinner as a bachelor, but he hoped to have all of us to a cold buffet. Considering that he is a relatively recent widower, it is believed that the atmosphere of an evening like this one is something he really enjoys.

Following dinner and myself sat down to coffee and cognacs with him and spent over an hour in a discussion. He opened the discussion with the statement that his boss DULLIEN had been definitely and formally told that with the approval of the new LAUFBAHN for the RKA which is expected momentarily, he Dullien would have to retire, as he is overage ingrade for the top position. (Dullien is 63 and the top age limit for police officers is 60) I asked Caravel pointblank, whether this meant he would move into the top spot and he said no, he had no such expectations. He did expect to continue as Vice President in the new grade.

Caravel had no new information on Bruekner or the latte's decision on the CAVATA job.

The rest of the period was devoted to a discussion of investigative procedures of the Federal Republic with respect to its personnel, specifically the Beamten and the ~~measures taken to ensure the~~ the matter of internal security. I broached this subject in general terms in view of recent headquarters requirements and with a view towards laying the foundation for specific requirements and questions. Provisions for the investigation of applicants for federal positions originated with the cabinet at the time the federal government was formed in 1955. Current regulations which are no longer public were formulated by the ministry of the interior. The provisions for the investigation of job applicants to federal jobs have also been adapted by the Laender and one might say that they are uniform throughout Western Germany. The adherence to regulations and the ir application and execution is undoubtedly another matter. Basically an application ~~includes~~ provides the usual basic data on an applicant and he must supply necessary proof of his claimed professional qualifications. This would include proof of academic and other achievements. A check is made to verify ~~includes~~ bona fides and character of the applicant ~~includes~~. This includes an Einwohnermeldeamt check through the police, a check of the police records and a check of the Strafregister. It includes a check of references and in some cases a check of independent informants such as neighbors.

This represents in rough outline the "investigative procedure" with regard to any applicant and would form the basic data for an initial 201 type personnel file. It was given by Caravel in broad outline and stated by him to be the basic procedure followed by the federal government and the laender. He implied that verification of data supplied by the individual was done rather minutely. He stated further that investigation took a lot of time and also implied that it was sometimes "luckenhaft" or contained gaps.

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Intermingled in the discussion was a description of "security" investigation. Responsibility for such investigation and the granting of clearances for access to classified information rests with the ministry of the Interior. In the Ministry with Abteilung VI (Offentliche Sicherheit) and specifically with Referat VI A 1 under Dr. Cellarius. This is an individual fairly well known to Caravel. This section is charged with security investigations for access to classified information by German Officials and personnel. The degree of investigation depends on the degree of classified information to which the applicant has access. Caravel tried to imply that ~~the~~ ^{the} application of the need to know principle was most extensively used in order to reduce the number of people with access to each category of information, the number becoming less as the classification became higher.

In the investigation for clearance to access for classified information existing records of the Allies were checked for those categories involving NATO clearances. It was not quite clear as to what category required check with Allied records where this was not the case. As of today all applicants and also those checked for access to classified info who are more than forty years old are checked against BDC records and those at Lubwigsburg. Evry year the Jahrgang to whom this applies is upped on the grounds that the younger men obviously could not be affected by Nazi affiliation and crimes. Caravel ~~xxxxxx~~ deprecated the FDC records at this time, saying that most of the information that came from there was too limited and stereotyped. He felt that most german CSC personell had been checked out an it's files examined for security implications., so that in later transfers from positions in the lander to others in the bundesregierung or in promotions cases the files did not need reexamination. The only additional investigations were those originating from the need to upgrade a fellow's clearances and this was a long and tedious process. While trying to indicate that this process was pretty thorough and the adherence to clearance in the release of classified material was pretty thorough , he told a tale of having had no trouble in getting access to installations in Fountainebleau for which he had no clearance. Seemingly his german sponsor there and the frenchman took the existence of a clearance for granted.

CONCLUSIONS: The above represents a very rambling account of a conversation on an important topic. It was the first time the subject was raised, the ~~xxxxxxxxxxxxxx~~ ~~xxxxxxxxxxxxxx~~ ~~xxxxxxxxxxxxxx~~ The discussion was about as rambling as the report covering it. I wanted to first of all establish the area of knowledge on the subject by Caravel. It appears most certainly very extensive and authoritative and I'm sure he has access to records etc which one will need to cover the subject comprehensively.

I intend to discuss the topic on my next trip to Bonn, determine what aspects are being covered by them, to sort the various aspects of the topic and carefully prepare an agenda for the next several meetings in order to come away with a comprehensive picture. As of the moment I consider above just a start and that probably several meetings and some homework will be necessary to get the topic properly covered.

Caravel seems to have appreciated the dinner, the company and hinted that he would like to have everyone to his apartment sometime in the future for a cold supper. Since his wife's death he seems to be interested in this kind of relationship and we certainly intend to follow up on it.

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